

10/560302

IAP20 Rec'd PCT/PTO 12 DEC 2005

Docket No.: 11885-00072-US  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Markus Schafheutle et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: Concurrently Herewith

Art Unit: N/A

For: SELF-CROSSLINKING AQUEOUS  
POLYURETHANE DISPERSIONS

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

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application by this firm) to our Deposit Account No. 03-2775, under Order No. 11885-00072-US.

Dated:

Respectfully submitted,

By Richard M. Beck

Richard M. Beck

Registration No.: 22,580

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Substitute for form 1449A/B/PTO			Complete if Known Application Number Not Yet Assigned Filing Date Concurrently Herewith First Named Inventor Markus Schafheutle Art Unit N/A Examiner Name Not Yet Assigned Attorney Docket Number 11885-00072-US		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)					
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA*	US-3,124,605	03-10-1964	Wagner	
	AB*	US-3,358,010	12-12-1967	Britain	
	AC*	US-3,412,054	11-19-1968	Milligan et al.	
	AD*	US-3,640,924	02-08-1972	Trimble et al.	
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	AF*	US-3,903,126	09-02-1975	Woerner et al.	
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	AK*	US-4,203,883	05-20-1980	Hangauer, Jr.	
	AL*	US-4,240,938	12-23-1980	Kraft et al.	
	AM*	US-4,314,918	02-09-1982	Birkmeyer et al.	
	AN*	US-4,324,879	04-13-1982	Bock et al.	
	AO*	US-4,331,573	05-25-1982	Zabrocki et al.	
	AP*	US-4,543,376	09-24-1985	Schupp et al.	
	AQ*	US-4,885,392	12-05-1989	Lenz et al.	
	AR*	US-4,983,662	01-08-1991	Overbeek et al.	
	AS*	US-5,147,926	09-15-1992	Meichsner et al.	
	AT*	US-5,147,925	09-15-1992	Pears et al.	
	AU*	US-5,571,861	11-05-1996	Klein et al.	
	AV*	US-5,623,016	04-22-1997	Klein et al.	
	AW*	US-2004/0236011	11-25-2004	Haeberle et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	DE-2020 905	02-18-1971	Takeda Chemical Industries, Ltd.		See US 3,723,372
	BB	DE-23 14 513	10-03-1974	Bayer AG		See US 3,920,598
	BC	DE-26 24 442	12-09-1976	PPG Industries, Inc.		See US 4,046,729
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	BE	DE-28 11 913	02-21-1980	Hoechst AG		See US 4,240,938
	BF	DE-29 28 552	01-29-1981	Bayer AG		See US 4,331,573
	BG	DE-31 24 784	04-01-1982	PPG Industries, Inc.		See US 4,314,918
	BH	EP-0 120 466	10-03-1984	BASF Lacke + Farben Aktiengesellschaft		See US 4,543,376
	BI	DE-36 44 371	07-07-1988	Hoechst AG		See US 4,885,392
	BJ	DE-0 367 051	05-09-1990	BASF Lacke & Farben Aktiengesellschaft		See US 5,147,926
	BK	EP-0 649 865	04-26-1995	Hoechst Aktiengesellschaft		See US 5,571,861 and US 5,623,016
	BL	DE-196 18 675	03-27-1997	BASF AG		See Abstract

Examiner Signature		Date Considered	
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PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/560302
				Filing Date	Concurrently Herewith
				First Named Inventor	Markus Schafheutle
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	11885-00072-US
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	BM	EP-0 844 261	05-27-1998	BASF Aktiengesellschaft		See Abstract
	BN	DE-196 47 982	05-28-1998	BASF AG		See Abstract
	BO	WO-02/14399	02-21-2002	Akzo Nobel N.V.		
	BP	DE-101 27 208	12-12-2002	BASF AG		See US 2004/0236011

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature		Date Considered	
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